

02/27/02  
J1040 U.S. PTO

02-28-02  
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J1011 U.S. PTO  
10/083913  
02/27/02

Box Patent Application  
Assistant Commissioner for Patents  
Washington, DC 20231

**Express Mail No.:** EU260616753US  
**Attorney Docket No.:** 2002082-0001  
**Date Filed:** February 27, 2002

**UTILITY PATENT APPLICATION TRANSMITTAL**  
(for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Dear Sir:

Please find enclosed a patent application and papers as follows for:

Inventor(s):

Given Name (first and middle)      Family Name or Surname      Residence (City and State or Foreign Country)

Title of the Invention: **Omega-3 Fatty Acids in the Treatment of Depression**

A) APPLICATION ELEMENTS:

- 1) ☐ **Fee Transmittal Form** (original and duplicate submitted for fee processing)
- 2) ☒ **Applicant Claims Small Entity Status** (see 37 C.F.R. § 1.27)
  - a) ☐ Statement Verifying Small Entity Status (optional)
- 3) ☒ **Specification**
  - ☒ Descriptive Title of the Invention
  - ☒ Cross References to Related Applications (if applicable)
  - ☐ Statement Regarding Federally Sponsored R & D (if applicable)
  - ☐ Reference to sequence listing, a table, or a computer program listing appendix
  - ☒ Background of the Invention
  - ☒ Summary of the Invention
  - ☐ Description of the Drawing (if filed)
  - ☒ Detailed Description of the Invention
  - ☒ Claim(s)
  - ☒ Abstract of the Invention

TOTAL PAGES: 19

4) ☐ **Drawing(s)** (35 U.S.C. § 113)

TOTAL SHEETS: \_\_\_\_\_

a) ☐ Formal Drawings (if checked)

5) ☐ **Oath or Declaration**

TOTAL PAGES: \_\_\_\_\_

a) ☐ Newly Executed (original or copy)

b) ☐ Copy from a prior application (37 C.F.R. § 1.63(d))-for  
continuation/divisional application

i) ☐ Deletion of inventor(s): Signed Statement deleting inventor(s) named  
in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

c) ☐ Unexecuted

6) ☐ **Application Data Sheet**. See 37 C.F.R. § 1.76.

7) ☐ **CD-ROM or CD-R in duplicate, large table or Computer Program  
(Appendix)**

8) ☐ **Nucleotide and/or Amino Acid Sequence Submission** (if applicable, all are  
necessary)

a) ☐ Computer Readable Form (CRF)

b) ☐ Specification Sequence Listing on:

i) ☐ CD-ROM or CD-R (2 copies); or

ii) ☐ Paper

c) ☐ Statements verifying identity of above copies

**B) ACCOMPANYING APPLICATION PARTS:**

9) ☐ **Assignment Papers** (cover sheet & document(s))

10) ☐ **37 C.F.R. § 3.73(b) Statement** (when there is an assignee)

11) ☐ **Power of Attorney**

12) ☐ **English Translation Document** (if applicable)

13) ☐ **Information Disclosure Statement (IDS)/PTO-1449**

14) ☐ **Copies of IDS Citations**

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- 15) ☐ Preliminary Amendment
- 16) ☒ Return Receipt Postcard (MPEP 503) (specifically itemized)
- 17) ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- 18) ☐ Nonpublication Request under 35 U.S.C. § 122(b)(2)(B)(i)
- 19) ☐ OTHER: (if applicable, specified below)

C) FOR CONTINUING APPLICATIONS: (the appropriate box is checked, and certain information is provided below and in a preliminary amendment)

☐ continuation ☐ divisional ☒ continuation-in-part (CIP)

of prior application no.: \_\_\_\_\_ using Express Mail ET796587916US

filed: \_\_\_\_\_ February 5, 2002

examiner: \_\_\_\_\_

group/art unit: \_\_\_\_\_

**for continuation or divisional applications only:** The entire disclosure of the prior application, from which an oath or declaration is supplied as detailed above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.

D.) PRIORITY CLAIM(S):

This application claims the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States of America listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (patented, pending, abandoned)</u>
	February 5, 2002	Pending
09/269,361	March 22, 1999	Issued
PCT/US97/06712	April 23, 1997	Inactive

This application claims the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>Application Serial No.</u>	<u>Filing date</u>	<u>Status (pending, expired, abandoned)</u>
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E) METHOD OF PAYMENT OF FILING FEES FOR THIS APPLICATION:

☒ Applicant claims small entity status 37 C.F.R. § 1.27.

☒ A check for ~~\$662~~<sup>\$633</sup> is enclosed to cover the filing fees.

☒ The commissioner is hereby authorized to charge the remaining filing fees and any additional fees or to credit any overpayment to deposit account number 03-1721.

Basic Filing Fee (Small Entity)	\$370.00
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Additional Fees:

Total Number of Claims in excess of 20: (33- 20) x \$9	\$117.00
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Number of Independent Claims in excess of 3:(4- 3) x \$42	\$42.00
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Multiple Dependent Claims \$140:	<u>\$140.00</u>
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Total Filing Fee:	\$669.00
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F) CORRESPONDENCE ADDRESS:



☒ Customer Bar Code Label:

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PATENT TRADEMARK OFFICE

☒ Correspondence Address:

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Respectfully Submitted,

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